

***** DRAFT - NOT YET FILED *****

1301:6-3-13

Withdrawal of application or notice filing.

- (A) If an application to register securities pursuant to section 1707.09, 1707.091, or 1707.39 of the Revised Code has been on file with the division for more than one year without becoming registered, the division may withdraw the application without prejudice and refund the qualification fee.

- (B) If a notice filing submitted to the division pursuant to section 1707.092 of the Revised Code has been on file with the division for more than one year without becoming effective, the division may withdraw the notice filing and refund the filing fee.

Five Year Review (FYR) Dates:

Certification

Date

Promulgated Under:	119.03
Statutory Authority:	1707.20
Rule Amplifies:	1707.13
Prior Effective Dates:	10/26/84, 1/28/00