

# 2009 OHIO SECURITIES CONFERENCE

Vern Riffe Center, 31st Floor  
Held on October 23, 2009

## Regulation D Update

Mark R. Heuerman, Registration Supervisor  
Ohio Division of Securities

Mark A. Weiss  
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### Regulation D Update Materials

#### Heuerman ODS

## Current Arbitration and Licensing Issues

Andrea L. Seidt, Commissioner  
Ohio Division of Securities

Rex Staples, General Counsel, NASAA

Joseph Simms, Ulmer & Berne

David P. Meyer  
David P. Meyer & Associates

Robert N. Rapp  
Calfee, Halter & Griswold

### Arbitration and Licensing Issues Materials

## Financial Regulatory Reform

Howard M. Friedman  
University of Toledo College of Law

Geoffrey Rapp  
University of Toledo College of Law

Paul Rose  
Ohio State University-Moritz College of Law

### Financial Regulatory Reform Materials

### Prof. Friedman Financial Regulatory Reform

## Securities Litigation and Enforcement Update

Harvey McCleskey, Attorney Inspector  
Ohio Division of Securities

Thomas E. Geyer  
Bailey Cavalieri LLC

### Securities Litigation And Enforcement Update Materials

#### Enforcement

#### Geyer Presentation Materials

#### Geyer Presentation