Ohio Department of Commerce
Division of Financial Institutions
Credit Unions

Notice of Employment or Change in Employment of Outside Auditor

Name of Institution: ____________________________________________________________

Address: ___________________________________________________________________

City, State, Zip: ________________________________________________________________

Section 1733.191 (A) of the Ohio Revised Code requires that the employment or changes pertaining to the employment of an outside auditor be reported to the Superintendent within 10 days after employment.

Section 1733.191 (B) of the Ohio Revised Code requires an institution that terminates its relationship with an outside auditor to submit to the Superintendent within 15 days of the termination, a statement of the reasons for the termination and the circumstances surrounding such termination. The institution may elect to attach the required statement to this form or forward a separate statement to the Superintendent within the 15-day time period.

Name of New Outside Auditor: ________________________________________________

(Use name of auditing firm if applicable)

Street Address: __________________________________________________________________

City, State, Zip: __________________________________________________________________

Telephone: __________________________________________________________________

Date of Employment: __________________________________________________________________

Name of previous outside auditor: ________________________________________________

Was the previous outside auditor terminated?*  ☐ No  ☐ Yes  Effective Date: __________________________________________________________________

Reason for change/termination in auditor: ____________________________________________

______________________________________________________________________________

______________________________________________________________________________

*Termination is defined as a change of auditors between the time of entering into an engagement to perform an audit of the credit union’s financial statements and the issuance of the audited financial statements.

I hereby certify that the information contained in this form is true, correct, and complete to the best of my knowledge and belief.

Date  Name and Title  Signature

March 2007