



APPLICATION PROCESS

Ohio Department of Commerce
Division of Liquor Control
6606 Tussing Road
Reynoldsburg, OH 43068
614-644-2360

The Division of Liquor Control ("Division") has outlined the application process below in an effort to avoid your application being returned. Therefore it will be necessary for you to submit all the documents on the "REQUIRED DOCUMENTS FOR ACCEPTANCE" sheet. **If your application is filed without all of those documents, the application will not be accepted and will be returned to you.** Once your application is received, provided all required documents are submitted, the following process begins:

1. The Division logs your application into a computerized system for processing.
2. The Division will send an Official Notice to the legislative authority of the municipality/township it is located in (city council or township trustees and county commissioners). Note: Any of these entities have the right to object to your application, provided they do so within 30 days of that notification. The Division also sends a separate notice to the local law enforcement agency for informational purposes as required by law and to work in conjunction with the Legislative Authority should they desire to object to the issuance of your permit.
3. The Division will work with your County Board of Elections to determine the wet/dry status of your proposed permit premises. Note: This information is not needed on applications for transfer of ownership.
4. The Division will send the Personal History Background Form(s) you provided to the law enforcement agency in the municipality or township of your permit premises in order to have a separate background check conducted with them.
5. The Division works with the Ohio Bureau of Investigation ("BCI") to have conducted a required background check on all persons involved in your business. In order for the Division and BCI to conduct this background check, you are required to provide the Division with the proper documentation and information so that a background check can be performed. Please see DLC 4191 to determine whether you or persons involved in your business should submit a completed **paper** fingerprint card or be fingerprinted **electronically** via a "WebCheck" system.
6. A Division Compliance Officer will contact you to set up an appointment to conduct an initial inspection of your premises. At the time your inspection is completed our compliance officer will survey the area surrounding the proposed permit premises to determine if there are any institutions such as schools, churches, libraries, public playgrounds, or township parks within 500 feet. By law, any of these institutions can object to the issuance of your permit within 30 days of notification. If the business is not in operation or the premises did not meet all requirements at the initial inspection, a final inspection will need to be conducted at a later date. Please allow two weeks when notifying the Division for a final inspection.
7. Your C or D class permit certificate is issued for a permit period that expires on February 1, June 1 or October 1, depending on the county where your permit is located, and is renewed annually on that date. Renewal dates by county may be found by visiting http://www.com.ohio.gov/liqr/docs/LIQR_RenewalDistricts.pdf. All A, B, H or G Class permits renew annually on October 1.
8. If you have applied for a New Retail permit (NOT a Transfer), and it is issued within six months of the expiration date, you will receive a 50% refund of the class fee(s) paid. NOTE: The \$100.00 processing fee is non-refundable.

It is our goal to process your application in a timely and efficient manner. Many factors determine the length of time it takes to complete the process of your application, therefore your assistance in providing us with a complete application packet will help us in accomplishing our goal.

Rev. 04/14/2014

FOR TTY USERS DIAL 1-800-750-0750 - EOE/ADA SERVICE PROVIDER



APPLICATION DOCUMENT
CHECKLIST

Ohio Department of Commerce
Division of Liquor Control
6606 Tussing Road
Reynoldsburg, OH 43068
614-644-2360

REQUIRED DOCUMENTS FOR ACCEPTANCE OF A PERMIT APPLICATION:

- The Application completed in its entirety signed by applicant; if a transfer signed by both buyer and seller, and notarized.
- If Transfer Application - Processing fee of \$100.00 (Made payable to the Division of Liquor Control)
- New Retail Application – Processing fee of \$100.00 and class fee as applied for – NOTE: These fees may be included in one check (Made payable to the Division of Liquor Control)
- Personal History Background Form (DLC4121)
- If a Corporation – Officer/Shareholder Disclosure Form (DLC4030)
- If a Limited Liability Company – LLC Membership Disclosure Form (DLC4032)
- If a Partnership – Partnership Disclosure Form (DLC4031)
 - along with a signed copy of the Partnership Agreement
- If a Non-Profit Entity – Non-Profit Disclosure Form (DLC4029)
- If Transfer Application to an Executor, Administrator or Court appointed representative
 - Certified copy of Court Appointment
 - Certified copy of your Court Appointment bearing signature of the judge, and the court time stamp, indicating whether you can operate
- If Transfer Application as an Economic Development TREX Transfer
 - Applicable Transfer Application
 - Economic Development TREX Transfer Form (DLC4244). This form MUST accompany a Transfer Application.

ADDITIONAL DOCUMENTS REQUIRED THAT CAN BE FILED DURING THE PROCESS OF YOUR APPLICATION:

- Background Check (see DLC Form 4191)
- Lease Agreement in the applicant's name or Summary of Tenancy (Form DLC4085)
- If a Transfer - Signed copy of the Purchase Agreement and/or Summary of Purchase Agreement (DLC Form 4243)
- Financial Verification Sheet (DLC4096), with applicable supporting documents
- If a Corporation - Certificate of Good Standing from the Secretary of State
- If a nonprofit Corporation - Certificate of Continued Existence from Secretary of State
- If a Limited Liability Company - Certificate of Organization from Secretary of State
- If a Fictitious Partnership - Certificate of Fictitious name from Secretary of State
- If not incorporated in the State of Ohio - Certificate of Authority to Do Business in Ohio from Secretary of State
 - Ohio Secretary of State: (614) 455-3910 or 1-877-767-3453 – OR www.sos.state.oh.us
- Copy of Food Service Operator or Food Establishment License – only if filing for New Retail or Transfer of existing A1A, D1, D2, D3, D5, D5A, D5C, D5D, D5F, D5I, D5J, D5L, D5M, D-5O or D7 permit(s).

NOTE: You may be required to file additional documents other than those listed above.

ADDITIONAL INFORMATION:

- A SOLE proprietor and all PARTNERS in a partnership must be a U.S. citizen
- You must be 21 to be a permit holder
- If filing a Transfer Application, a Renewal Application must be filed and signed by the PRESENT PERMIT HOLDER (seller), at least fifteen days before the current permit's expiration date.

Rev. 4/11/2014

FOR TTY USERS DIAL 1-800-750-0750 - EOE/ADA SERVICE PROVIDER

For Questions call
 (614) 644-3156
 Office hours - 8:00 a.m. to 5:00 p.m.

Ohio Department of Commerce
 Division of Liquor Control
 6606 Tussing Road, P.O. Box 4005
 Reynoldsburg, Ohio 43068-9005
<http://www.com.ohio.gov/liqr>



APPLICATION FOR TRANSFER OF OWNERSHIP OR OWNERSHIP & LOCATION OF ALL PERMIT CLASSES LISTED BELOW
CAUTION: ALLOW 10 TO 12 WEEKS FOR PROCESSING. RETURN TO ADDRESS LISTED ABOVE

FEE: \$100.00 PROCESSING FEE - made payable to the Division of Liquor Control (Non-Refundable)

Please be advised that any social security numbers provided to the Division of Liquor Control in this application may be released to the Ohio Department of Public Safety, the Ohio Department of Taxation, the Ohio Attorney General, or to any other state or local law enforcement agency if the agency requests the social security number to conduct an investigation, implement an enforcement action, or collect taxes.

Seller (Individual, Corporation, Partnership or LLC):		Buyer (Individual, Corporation, Partnership or LLC):	
DBA (doing business as):		DBA (doing business as):	
Premises Address:		Premises Address:	
Township (if outside city limits):	County:	Township (if outside city limits):	County:
City & Zip Code:		City & Zip Code:	
Email:		Email:	
Mailing Address:		Mailing Address:	
Phone Number:		Phone Number:	
Attorney's Name & Address:		Attorney's Name & Address:	
Attorney's Telephone Number:	Seller's Permit Number:	Attorney's Telephone Number:	

SELECT Class(es) of Permit(s) Being Transferred: A1 A1A A2 A3 A4 B1 B2 B3 B4 B5
 C1 C2 C2X D1 D2 D-2X D3 D3A D3X D5 D6 D7 OTHER _____

SELECT Type of Transaction: CORPORATE NAME CHANGE CONVERSION GIFT MERGER
 SALE OTHER _____

SELECT Type of Business: INDIVIDUAL If Individual, list Social Security Number: _____
 CORPORATION LLC PARTNERSHIP

Is this an Economic Development (TRES) Transfer? YES NO If you answered "YES," you must submit Form DLC4244 (See page 4 of this form for further TRES information).

FOR DIVISION USE ONLY

Data Entry Initials: _____	Data Entry Action:	Comments/Notes:
RECEIPT NUMBER:	Violations: <input type="checkbox"/> YES <input type="checkbox"/> NO If "YES", what type _____	
TAXING DISTRICT	Ren Status: <input type="checkbox"/> ISSD <input type="checkbox"/> PEND	
SELLERS NUMBER	Proc. Fee Pd: <input type="checkbox"/> YES <input type="checkbox"/> NO	
BUYERS NUMBER	BCI Fee Amount Paid: \$ _____	
	FEE CODE	
	BUS. TYPE	

1. Do you or any partner, office holder, managing member, 5% stockholder or member, spouse, or other person involved in this permit hold or have any interest in another permit business? YES NO
If YES, Give permit number & address on the line provided _____

- 2a. Have you or any partner, office holder, managing member, 5% stockholder or member, spouse, or other person involved in this permit ever been convicted of a felony or misdemeanor, including any alcohol-related offenses? YES NO
If YES, attach a written explanation.
- 2b. If applicant is a sole proprietor or partnership, will spouse work on the permit premises? YES NO
If YES, indicate spouse's full name _____

3. Have you or any partner, office holder, managing member, 5% stockholder or member, spouse, or other person involved in this permit ever been refused a permit, denied a renewal, or had a permit revoked from another state, by this Division, or the Liquor Commission? **If YES,** attach a written explanation. YES NO

4. Does applicant own the real estate on which the proposed business will be located? YES NO
If NO, submit a signed and dated copy of your LEASE, RENTAL CONTRACT, OR DLC 4085 Summary of Tenancy Rights Form.

5. Will the applicant be the sole owner of the fixtures and equipment? YES NO
If NO, submit a signed and dated copy of the rental agreement for the fixtures and equipment.

6. Will any person, partnership, LLC, or corporation, excluding banks, building and loan associations, or the seller have **ANY** financial interest (such as money, loans, installment contracts, property or other interest) or share in the profits in your business or your property, real or personal? YES NO
If YES, attach a written explanation. **NOTE: Ohio Revised Code Section 4303.293 provides a criminal penalty for failure to answer this question completely and correctly.**

7. If transferring C or D class permits, do you or any partner, office holder, managing member, member, stockholder, employee, spouse or any other person involved in this permit own any stock or have any interest in the business of a manufacturer or wholesale distributor of alcoholic beverages? **If YES,** attach a written explanation. YES NO

8. If transferring A or B class permits, do you or any partner, office holder, managing member, member, stockholder, employee, spouse or any other person involved in this permit own any stock or have any interest in the business of a retail permit holder? **If YES,** attach a written explanation. YES NO

THE FOLLOWING MUST BE COMPLETED BY THE SELLER(S):

I, _____, hereby authorize the Division of Liquor Control to process this application
Print Name(s)

(Signature and Title)

(Residence Address)

(City)

(State)

(Zip Code)

(Area Code & Phone No.)

THE FOLLOWING MUST BE COMPLETED BY THE BUYER(S):

WARNING: Ohio Law provides that as a proposed buyer you could be liable as a successor of the permit holder's unpaid sales, use, and withholding tax liabilities. The Division of Liquor Control will be unable to transfer the permit until the tax and assessment matters are resolved to the satisfaction of the particular agency. The buyer should request that seller obtain a sales tax release certificate, by contacting the Ohio Department of Taxation, Sales and Use Tax Division, Release Unit. A Withholding Tax Release Certificate Request should be made by contacting the Ohio Department of Taxation, Withholding Tax Division, Business Billing Unit. Also, the current permit holder may still owe Unemployment Compensation payments. To discuss these possible liabilities, you should contact the Ohio Department of Job & Family Services.

DELIBERATE MISREPRESENTATION OF ANY OF THE INFORMATION ON THE APPLICATION CAN RESULT IN THE DIVISION'S REFUSING TO APPROVE THIS APPLICATION.

(Signature of Individual, Partner, Officer, Managing Member, or 5% or more Stockholder or Member)

(Print Name)

(Title)

(Date)

(Residence Address)

(City)

(State)

(Zip Code)

(Area Code & Phone No.)

(To be completed by Notary Public)

Sworn to before me and subscribed in my presence this _____ day of _____, 20 _____.

(Notary Public)

(Notary Expiration)

NOTE: ALL DOCUMENTS BECOME PART OF THE PERMIT FILE AND WILL NOT BE RETURNED