INSTRUCTIONS TO FORM NF

Form NF should be used for investment company initial filings, renewals, amendments and sales reports. This form should be used for all filing options, including definite and indefinite filings.

ITEM 1. Name of Issuer: State the name of the investment company for which the notice filing is being made. Do not use the name of the broker-dealer or distributor.

ITEM 3. Amendment Filings: Provide the applicable information. However, it may not be necessary to complete the entire form. Amendments include changes in the correspondent or fund name or a new fiscal year end. Changes such as reorganizations should be reported under "Other", with a written explanation. If in doubt about the proper category, use "Other" and provide an explanation.

ITEM 4. Description of Securities: This information should be provided at the level necessary in the given state. For example, if the state is a "trust level" state, portfolio and class information may not be required. A separate Form NF should be filed for each portfolio or class, to the extent required by the given state. Attach a list of all portfolios or classes for "trust level" states. For states whose fees are based on the prospectus, a separate Form NF should be filed for each prospectus and should include a list of all securities listed in the prospectus.

ITEM 6. CIK number (EDGAR): Please provide the Central Index Key Number that will cross-reference the SEC filing. Federal ID Numbers and SEC Registration Numbers: Federal identification numbers are only available for the fund or trust. Provide the Federal ID No. and SEC Registration No. if filing in a jurisdiction that requires these numbers. Fiscal Year: Fiscal year information is not required for unit investment trusts, since the filing period runs concurrently with SEC effectiveness.
ITEM 7. **Notice Period:** The notice period is established by law, administrative regulation or policy in some jurisdictions. As a result, one or more of the three options provided on the form may not be available in each jurisdiction. In addition, if the issuer elects to begin the notice filing period on the date of SEC effectiveness, the notice period will end on the date that a renewal filing is required.

ITEM 12. **Uniform Consent to Service of Process:** The uniform consent to service of process form is separate from the Notice Filing Form (Form NF). Issuers shall use the Uniform Consent to Service of Process Form (Form U-2).

**PROSPECTUS AND STATEMENT OF ADDITIONAL INFORMATION.** In some jurisdictions, you may be required by law, administrative regulation or policy to file the Form NF with a prospectus and/or statement of additional information.
UNIFORM INVESTMENT COMPANY NOTICE FILING

Please Read Instructions Prior To Filling Out Form State File No. __________

Notice to the State/Commonwealth of _________________________________

1. Name of Issuer _________________________________________________
   __________________________________________________________________

2. Address __________________________________________________________________
   __________________________________________________________________

3. Type of Filing: (check all that apply)

<table>
<thead>
<tr>
<th>Open-end Mutual Fund</th>
<th>Unit Investment Trust</th>
<th>Closed-end Mutual Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial Filing</td>
<td>Renewal Filing</td>
<td>Amendments</td>
</tr>
<tr>
<td>Exemption Filing</td>
<td>Termination</td>
<td>Withdrawal</td>
</tr>
<tr>
<td>Sales Report</td>
<td>Increase Dollar Amount</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other (specify)</th>
</tr>
</thead>
<tbody>
<tr>
<td>For name changes, provide former name: ________________________________</td>
</tr>
<tr>
<td>For amendments, specify nature of the change(s): ______________________</td>
</tr>
</tbody>
</table>

4. Description of Securities(e.g. Shares of beneficial interest): _____________
   ________________________________

Name of Fund______________________________________________________
   __________________________________________________________________
   __________________________________________________________________

Portfolio(s) ________________________________________________________
   __________________________________________________________________
   __________________________________________________________________

Class(es) ____________________________________________________________
   __________________________________________________________________
   __________________________________________________________________
Fiscal Year End of the issuer (if applicable) _______________________________

Are all of the securities described on this form offered in one prospectus? Yes[ ] No[ ]

5. Contact Person:
Name ______________________ Firm ______________________________
Address ______________________________________________________

City___________________________________ State ______________________
ZIP __________________ Telephone ________________________________
FAX: __________________ E-Mail ________________________________

6. CIK# (EDGAR) ________________ Federal ID# (if applicable) __________
Broker-Dealer: _______________ SEC Registration No.(if applicable) ______
Broker Dealer’s CRD No. _________ State of Incorporation/Charter ________
Portfolio ______________________________________________________
Class __________________________________________________________________

7. [] Notice Period: Beginning Date: ___/___/___ Ending Date: ___/___/___
[] The issuer elects to have its notice filing made effective upon filing.
[] The issuer elects to have its notice filing period begin with SEC effectiveness and thereby agrees to provide the above state prompt notice of such effectiveness.

8. Notice Fee: $ ____________________ [] No Filing Fee Required
Sales Report Fee (if applicable) $ __________________
Other Fee $ ___________________ Explain _________________________

9. To determine the basis used for calculating the filing fee, provide the following:
[] Indefinite Amount [] Definite Amount $______________________________
[] _____ Exemption Filing [] Net Assets: $______________________________

10. For filings to increase the dollar amount of securities reported during the notice period, provide the following:
(1) Previous Amount of Securities ________________________________
(2) Amount of Increase ___________________________________________
(3) Cumulative Amount of Securities _________________________________

11. SALES REPORT [To the extent not applicable, place N/A in the blank(s)]
Period Covered: Beginning date: ___/___/___ Ending: ___/___/___
Balance at beginning of period $ ______________________________
Additional Increases during period $ _____________________________
Amount available for sale $ _________________________________
Sales during the period $ _________________________________
Unsold balance at end of period $ _________________________________

12. UNIFORM CONSENT TO SERVICE OF PROCESS (select one)
   1. [] Was previously filed with this state with respect to the issuer of the securities
      covered for the securities covered by this notice and is incorporated by reference
   2. [] Is attached.
   3. [] Not Applicable (explain): _________________________________

13. SIGNATURES
The undersigned under penalties of perjury certifies that s/he has executed this Uniform
Investment Company notice on behalf of, and with the authority of the issuer. The
undersigned and the issuer represent that the information and statements contained in
the notice filed, are current, true and complete to the best of his or her knowledge,
information and belief and that any documents submitted with the notice are true copies
of the originals, and that the securities covered by this Notice are Covered Securities as
declared by Section 18(b)(2) of the Securities Act of 1933.
Dated this ____ day of _____________, __________
Month Year
For: ________________________________ By: __________________________
Name of Issuer Signature of Authorized Agent of Issuer

_____________________________ _____________________________
Print Name Title of Agent for Issuer

The filing of this notice constitutes a binding agreement by the above-named issuer and
under the laws of the jurisdiction in which this Form is filed, that upon receipt of a
request from such jurisdiction, the issuer will promptly provide a copy of its current
prospectus, and/or statement of additional information, and/or any other document, if
any, as filed with the Securities and Exchange Commission.