

Megan R. Dalton, CFS®, CFP®

Dalton Wealth Management

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March 23, 2020

To Whom It May Concern:

This letter is to certify that **Lucy Smith** is an employee of **Dalton Wealth Management**, a financial planning services firm associated with broker/dealer, LPL Financial.

She is directly involved in the day to day activities, including assistance and delivery of **Financial Services** to both the company's advisors and clients, and as such is providing this service as a function of an essential business as defined by the executive order by Governor Mike DeWine issued Sunday, March 22, 2020.

Persons engaged in the dissemination of financial services are exempt from the "shelter at home" rule because the "Financial Services" sector is defined as a **Federal Critical Infrastructure Sector** by the U.S. Department of Homeland Security, Cybersecurity & Infrastructure Security Agency. The Financial Services industry is essential for the continued functioning of the economy in this time and should maintain operations with minimal disruption in the event of an emergency, including during the coronavirus pandemic.

This person's presence outside or on the road is a legal exception to current travel restrictions. **Lucy Smith's typical working hours at Dalton Wealth Management are Monday through Friday, 8am to 4:30pm, unless otherwise required for overtime.**

If you have questions, please contact one of Lucy's supervisors immediately at:

Office Phone Number: xxx-xxx-xxxx

Dave Dalton Cell Phone: xxx-xxx-xxxx

Megan Dalton Cell Phone: xxx-xxx-xxxx

Sincerely,

Enclosures: