

Fingerprinting Information for Investment Adviser Representative Applicants ONLY

All applicants for Investment Adviser Representative (“IAR”) licensing with the Division are required to submit fingerprint results in connection with their application. Please note: Any IAR with fingerprints already on file with the Division due to CURRENT licensure with a FINRA-member firm would not need to submit fingerprints again. Please contact the Division’s Licensing Section at 614-644-7381 to verify whether the applicant has fingerprints currently on file or not.

Ohio Residents:

If the applicant does not have fingerprints currently on file, and resides in Ohio, the applicant must have **BCI fingerprinting** done at a *Webcheck* location. For a directory of *Webcheck* locations, visit:

<https://www.ohioattorneygeneral.gov/Business/Services-for-Business/WebCheck/Webcheck-Community-Listing>

The applicant must take the Division’s address with them and request that the fingerprint results be sent directly to the Division, as the Division cannot accept results submitted by the applicant or employing firm. The Division’s address is:

Ohio Division of Securities
Attn: Licensing Section
77 S. High Street, 22nd Floor
Columbus, OH 43215

Out of State Applicants:

If the applicant does not have fingerprints currently on file, and resides outside of Ohio, the applicant must contact the Division to request that Division-specific fingerprint cards and exemption forms be mailed to them. Please contact 614-644-7381 and ask for the Licensing Section.